

# BU CS 332 – Theory of Computation

## Lecture 16:

### Reductions:

- Via Computational History
- Mapping Reductions
- Post's correspondence game

Reading:

Sipser Ch. 5

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# Problems in language theory

$A_{DFA}$ decidable	$A_{CFG}$ decidable	$A_{TM}$ undecidable
$E_{DFA}$ decidable	$E_{CFG}$ decidable	$E_{TM}$ undecidable
$EQ_{DFA}$ decidable	$EQ_{CFG}$ ?	$EQ_{TM}$ ?

# Equality Testing for TMs

$$EQ_{TM} = \{ \langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2) \}$$

**Theorem:**  $EQ_{TM}$  is undecidable

**Proof:** Suppose for contradiction that there exists a decider  $R$  for  $EQ_{TM}$ . We construct a decider for  $A_{TM}$  as follows:

On input  $\langle M, w \rangle$ :

1. Construct TMs  $M_1, M_2$  as follows:

$M_1(x)$ :  
if  $x=w$  then accept, else reject.

$M_2(x)$ :  
if  $x=w$  or  $M_1(w)$  or  $M_2(w)$  accepts then accept - else reject.

2. Run  $R$  on input  $\langle M_1, M_2 \rangle$

3. If  $R$  accepts, **accept**. Otherwise, **reject**.

need to show:  
if  $\langle M, w \rangle \in A_{TM}$  then  $\langle M_1, M_2 \rangle \in EQ_{TM}$   
if  $\langle M, w \rangle \notin A_{TM}$  then  $\langle M_1, M_2 \rangle \notin EQ_{TM}$   
else reject  $EQ_{TM}$

This is a reduction from  $A_{TM}$  to  $EQ_{TM}$

# Equality Testing for TMs

$$EQ_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

**Theorem:**  $EQ_{\text{TM}}$  is undecidable

**Proof:** Suppose for contradiction that there exists a decider  $R$  for  $EQ_{\text{TM}}$ . We construct a decider for  $A_{\text{TM}}$  as follows:

On input  $\langle M, w \rangle$ :

1. Construct TMs  $M_1, M_2$  as follows:

$$M_1 =$$

$$M_2 =$$

2. Run  $R$  on input  $\langle M_1, M_2 \rangle$

3. If  $R$  accepts, **accept**. Otherwise, **reject**.

This is a reduction from  $A_{\text{TM}}$  to  $EQ_{\text{TM}}$

# Problems in language theory

$A_{\text{DFA}}$ decidable	$A_{\text{CFG}}$ decidable	$A_{\text{TM}}$ undecidable
$E_{\text{DFA}}$ decidable	$E_{\text{CFG}}$ decidable	$E_{\text{TM}}$ undecidable
$EQ_{\text{DFA}}$ decidable	$EQ_{\text{CFG}}$ ?	$EQ_{\text{TM}}$ ✓ undecidable

$TA_{TM} = \{ \langle M, w, t \rangle \mid M \text{ accepts } w \text{ within } t \text{ steps of computation} \}$

is  $TA_{TM}$  decidable?

decidable: Run  $U(\langle M, w \rangle)$  for  $t$  steps

# Warning

What's wrong with the following "proof"?

**Bogus "Theorem":**  $A_{TM}$  is not Turing-recognizable

**Bogus "Proof":** Suppose for contradiction that there exists a recognizer  $R$  for  $A_{TM}$ . We construct a recognizer for  $\overline{A_{TM}}$ :

On input  $\langle M, w \rangle$ :

1. Run  $R$  on input  $\langle M, w \rangle$
2. If  $R$  accepts, **reject**. Otherwise, **accept**.

This sure looks like a reduction from  $\overline{A_{TM}}$  to  $A_{TM}$

# Mapping Reductions

"many to one" reductions



# Mapping Reductions: Motivation

1. How do we formalize the notion of a reduction?
2. How do we use reductions to show that languages are unrecognizable?
3. How do we protect ourselves from accidentally “proving” bogus theorems about recognizability?

# Computable Functions

## Definition:

A function  $f: \Sigma^* \rightarrow \Sigma^*$  is **computable** if there is a TM  $M$  which, given as input any  $w \in \Sigma^*$ , halts with only  $f(w)$  on its tape.

**Example 1:**  $f(\langle x, y \rangle) = x + y$

**Example 2:**  $f(\langle M, w \rangle) = \langle M' \rangle$  where  $M$  is a TM,  $w$  is a string, and  $M'$  is a TM that ignores its input and simulates running  $M$  on  $w$

# Mapping Reductions

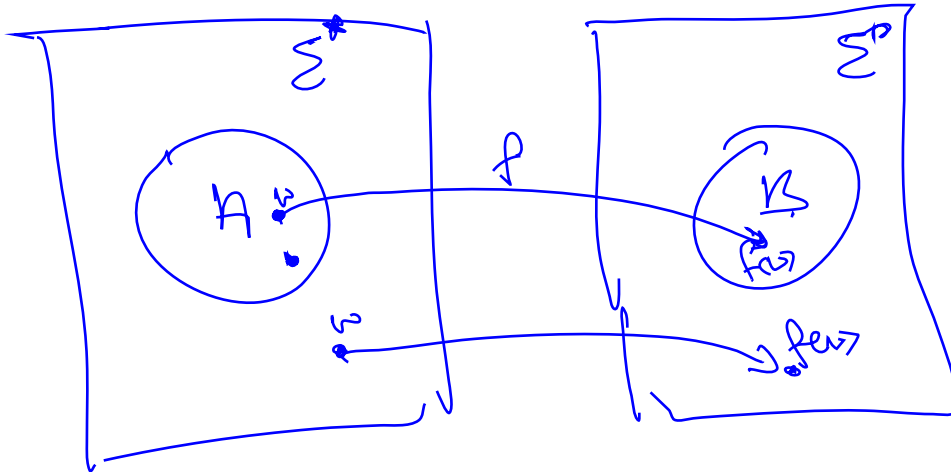
## Definition:

Language  $A$  is **mapping reducible** to language  $B$ , written

$$A \leq_m B$$

if there is a computable function  $f: \Sigma^* \rightarrow \Sigma^*$  such that for all strings  $w \in \Sigma^*$ , we have  $w \in A \iff f(w) \in B$

$$w \notin A \implies f(w) \notin B$$



# Decidability

**Theorem:** If  $A \leq_m B$  and  $B$  is decidable, then  $A$  is also decidable

**Proof:** Let  $M$  be a decider for  $B$  and let  $f: \Sigma^* \rightarrow \Sigma^*$  be a mapping reduction from  $A$  to  $B$ . Construct a decider for  $A$  as follows:

On input  $w$ :

1. Compute  $f(w)$
2. Run  $M$  on input  $f(w)$
3. If  $M$  accepts, **accept**. Otherwise, **reject**.

# Undecidability

**Theorem:** If  $A \leq_m B$  and  $B$  is decidable, then  $A$  is also decidable

**Corollary:** If  $A \leq_m B$  and  $A$  is undecidable, then  $B$  is also undecidable

# Old Proof: Equality Testing for TMs

$$EQ_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

**Theorem:**  $EQ_{\text{TM}}$  is undecidable

**Proof:** Suppose for contradiction that there exists a decider  $R$  for  $EQ_{\text{TM}}$ . We construct a decider for  $A_{\text{TM}}$  as follows:

On input  $\langle M, w \rangle$ :

1. Construct TMs  $M_1, M_2$  as follows:

$M_1 =$  "On input  $x$ ,

1. Ignore  $x$
2. Run  $M$  on input  $w$
3. If  $M$  accepts, **accept**.  
Otherwise, **reject**."

$M_2 =$  "On input  $x$ ,

1. Ignore  $x$  and **accept**"

2. Run  $R$  on input  $\langle M_1, M_2 \rangle$

3. If  $R$  accepts, **accept**. Otherwise, **reject**.

This is a reduction from  $A_{\text{TM}}$  to  $EQ_{\text{TM}}$

# New Proof: Equality Testing for TMs

$$EQ_{TM} = \{\langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

**Theorem:**  $A_{TM} \leq_m EQ_{TM}$  hence  $EQ_{TM}$  is undecidable

**Proof:** The following TM computes the reduction:

On input  $\langle M, w \rangle$ :

1. Construct TMs  $M_1, M_2$  as follows:

$M_1 =$  “On input  $x$ ,

1. Ignore  $x$
2. Run  $M$  on input  $w$
3. If  $M$  accepts, **accept**.  
Otherwise, **reject**.”

$M_2 =$  “On input  $x$ ,

1. Ignore  $x$  and **accept**”

2. **Output**  $\langle M_1, M_2 \rangle$

# Mapping Reductions: Recognizability

**Theorem:** If  $A \leq_m B$  and  $B$  is **recognizable**, then  $A$  is also **recognizable**.

**Proof:** Let  $M$  be a **recognizer** for  $B$  and let  $f: \Sigma^* \rightarrow \Sigma^*$  be a mapping reduction from  $A$  to  $B$ . Construct a **recognizer** for  $A$  as follows:

On input  $w$ :

1. Compute  $f(w)$
2. Run  $M$  on input  $f(w)$
3. If  $M$  accepts, **accept**. Otherwise, **reject**.



# Unrecognizability

**Theorem:** If  $A \leq_m B$  and  $B$  is recognizable, then  $A$  is also recognizable

**Corollary:** If  $A \leq_m B$  and  $A$  is unrecognizable, then  $B$  is also unrecognizable

**Corollary:** If  $\overline{A_{TM}} \leq_m B$ , then  $B$  is unrecognizable

# Consequences of $A_{\text{TM}} \leq_m EQ_{\text{TM}}$

1. Since  $A_{\text{TM}}$  is undecidable,  $EQ_{\text{TM}}$  is also undecidable
2.  $A_{\text{TM}} \leq_m EQ_{\text{TM}}$  implies  $\overline{A_{\text{TM}}} \leq_m \overline{EQ_{\text{TM}}}$   
Since  $\overline{A_{\text{TM}}}$  is unrecognizable,  $\overline{EQ_{\text{TM}}}$  is unrecognizable

# $EQ_{TM}$ itself is also unrecognizable

$$EQ_{TM} = \{\langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

**Theorem:**  $\overline{A_{TM}} \leq_m EQ_{TM}$  hence  $EQ_{TM}$  is unrecognizable

**Proof:** The following TM computes the reduction:

On input  $\langle M, w \rangle$ :

1. Construct TMs  $M_1, M_2$  as follows:

$M_1$  = "On input  $x$ ,

1. Ignore  $x$
2. Run  $M$  on input  $w$
3. If  $M$  accepts, **accept**.  
Otherwise, **reject**."

$M_2$  = "On input  $x$ ,

1. Ignore  $x$  and **accept**"

2. Output  $\langle M_1, M_2 \rangle$

# More on Reductions and Undecidability

# Problems in language theory

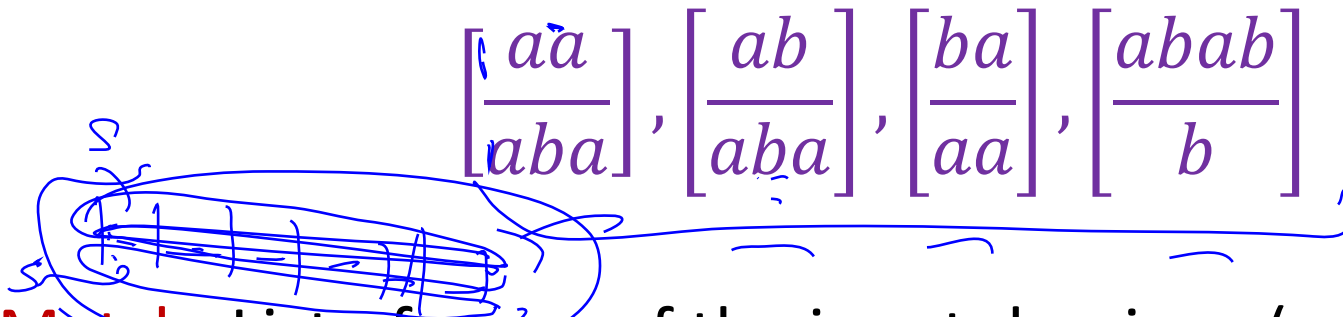
$A_{\text{DFA}}$ decidable	$A_{\text{CFG}}$ decidable	$A_{\text{TM}}$ undecidable
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$EQ_{\text{DFA}}$ decidable	$EQ_{\text{CFG}}$ ?	$EQ_{\text{TM}}$ undecidable

# Undecidable problems outside language theory

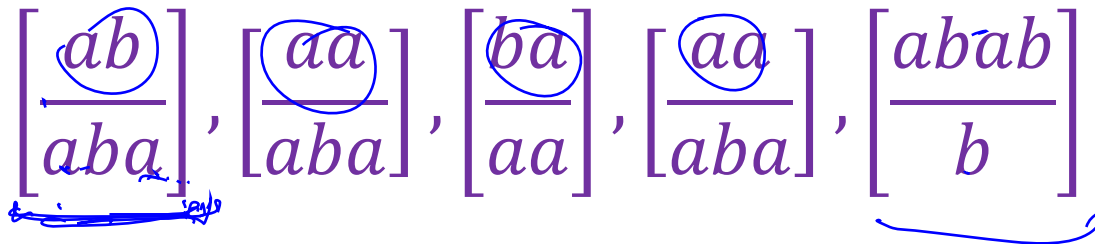
## Post Correspondence Problem (PCP):

**Domino:**  $\begin{bmatrix} a \\ ab \end{bmatrix}$ . Top and bottom are strings.

**Input:** Collection of dominos.



**Match:** List of some of the input dominos (repetitions allowed) where top = bottom



**Problem:** Does a match exist?

This is **un**decidable

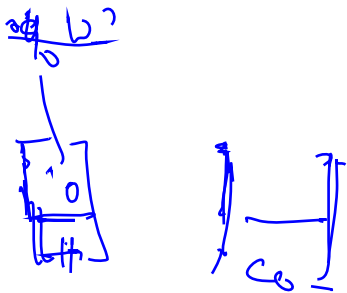
Need a mapping

$$f(M, w) \rightarrow 1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1$$

st of  $M(w)$  conf  $\Rightarrow$   $\exists$  solution

$M(w)$  not satisfiable  $\Rightarrow$   $\nexists$  solution

$\Rightarrow$  prob: design the domain's st. any legal configuration will encode a legal sequence of conf. of  $M$













# $ALL_{CFG}$ is undecidable

$$ALL_{CFG} = \{ \langle G \rangle \mid G \text{ is a CFG with terminal set } \Sigma \text{ and } L(G) = \Sigma^* \}$$

**Theorem:**  $\overline{A_{TM}} \leq_m EQ_{CFG}$  hence  $EQ_{CFG}$  is undecidable

**Proof idea:** “Computation history method”

On input  $\langle M, w \rangle$ :

1. Construct a CFG  $G$  such that:

$$L(G) = \Sigma^* \iff M \text{ does not accept } w$$

2. Output  $\langle G \rangle$

# Problems in language theory

$A_{\text{DFA}}$ decidable	$A_{\text{CFG}}$ decidable	$A_{\text{TM}}$ undecidable
$E_{\text{DFA}}$ decidable	$E_{\text{CFG}}$ decidable	$E_{\text{TM}}$ undecidable
$EQ_{\text{DFA}}$ decidable	$EQ_{\text{CFG}}$ undecidable	$EQ_{\text{TM}}$ undecidable

# An Undecidable Language

$A_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM that accepts input } w\}$

**Theorem:**  $A_{\text{TM}}$  is undecidable

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$A_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM that accepts input } w\}$

**Theorem:**  $A_{\text{TM}}$  is undecidable

**Proof:** Assume for the sake of contradiction that TM  $H$  decides  $A_{\text{TM}}$ :

$$H(\langle M, w \rangle) = \begin{cases} \text{accept} & \text{if } M \text{ accepts } w \\ \text{reject} & \text{if } M \text{ does not accept } w \end{cases}$$

# An Undecidable Language

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Define

$$\bar{H}(\langle M, w \rangle) = \begin{cases} \text{reject} & \text{if } M \text{ accepts } w \\ \text{accept} & \text{if } M \text{ does not accept } w \end{cases}$$

Consider  $H(\langle \bar{H}, w \rangle)$



# An Undecidable Language

$A_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM that accepts input } w\}$

**Theorem:**  $A_{\text{TM}}$  is undecidable

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Define

$$\bar{H}(\langle M, w \rangle) = \begin{cases} \text{reject} & \text{if } M \text{ accepts } w \\ \text{accept} & \text{if } M \text{ does not accept } w \end{cases}$$

Consider  $H(\langle \bar{H}, w \rangle)$ : Has to run forever...

**→  $H$  is not a decider.**

# An unrecognizable Language

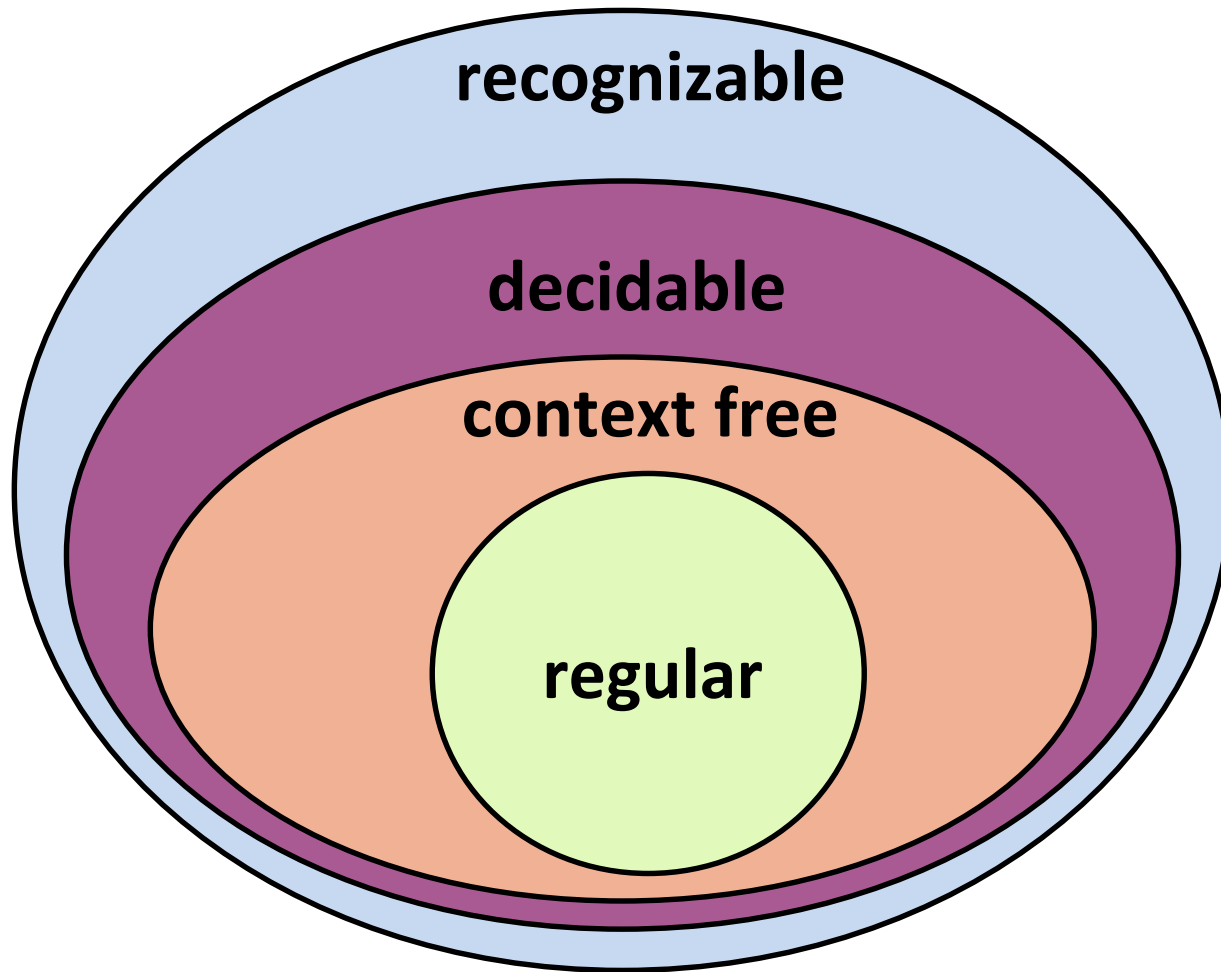
**Theorem:** A language  $L$  is decidable if and only if  $L$  and  $\bar{L}$  are both Turing-recognizable.

(  $L \in \mathbf{R}$  if and only if both  $L \in \mathbf{RE}$  and  $\bar{L} \in \mathbf{RE}$  )

**Corollary:** If  $L$  is Turing-recognizable and undecidable then  $\bar{L}$  is not Turing-recognizable.

(If  $L \in \mathbf{RE}$  and  $L \notin \mathbf{R}$  then  $\bar{L} \notin \mathbf{RE}$  )

# Classes of Languages: updated view



# A specific unrecognizable Language

**Theorem:** A language  $L$  is decidable if and only if  $L$  and  $\bar{L}$  are both Turing-recognizable.

**Corollary:** If  $L$  is Turing-recognizable and undecidable then  $\bar{L}$  is not Turing-recognizable.

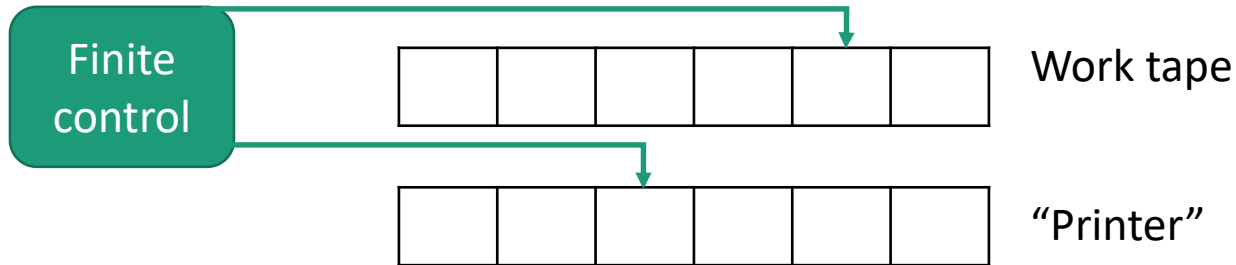
Define:

**$R$**  = decidable languages

**$RE$**  = Turing-recognizable languages

**$coRE$**  =  $\{L \mid \bar{L} \text{ is Turing recognizable}\}$

# Enumerators



- Starts with two blank tapes
  - Prints strings to printer
- $L(E) = \{\text{strings eventually printed by } E\}$
- May never terminate (even if language is finite)
  - May print the same string many times

# Enumerable = Turing-Recognizable

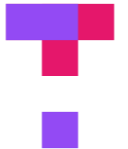
**Theorem:** A language is Turing-recognizable  $\Leftrightarrow$  some enumerator enumerates it

# Reductions

# Reductions

A **reduction** from problem  $A$  to problem  $B$  is an algorithm for problem  $A$  which uses an algorithm for problem  $B$  as a subroutine

If such a reduction exists, we say “ $A$  reduces to  $B$ ”





# Two uses of reductions

**Positive uses:** If  $A$  reduces to  $B$  and  $B$  is decidable, then  $A$  is also decidable

$EQ_{\text{DFA}} = \{\langle D_1, D_2 \rangle \mid D_1, D_2 \text{ are DFAs and } L(D_1) = L(D_2)\}$

**Theorem:**  $EQ_{\text{DFA}}$  is decidable

**Proof:** The following TM decides  $EQ_{\text{DFA}}$

On input  $\langle D_1, D_2 \rangle$ , where  $\langle D_1, D_2 \rangle$  are DFAs:

1. Construct a DFA  $D$  that recognizes the symmetric difference  $L(D_1) \Delta L(D_2)$
2. Run the decider for  $E_{\text{DFA}}$  on  $\langle D \rangle$  and return its output

# Two uses of reductions

**Negative uses:** If  $A$  reduces to  $B$  and  $A$  is undecidable, then  $B$  is also undecidable

$A_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM that accepts input } w\}$

Suppose  $H$  decides  $A_{\text{TM}}$

Consider the following TM  $D$ .

On input  $\langle M \rangle$  where  $M$  is a TM:

1. Run  $H$  on input  $\langle M, \langle M \rangle \rangle$
2. If  $H$  accepts, accept. If  $H$  rejects, reject.

**Claim:**  $D$  decides

$$SA_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a TM that accepts on input } \langle M \rangle\}$$

# Two uses of reductions

**Negative uses:** If  $A$  reduces to  $B$  and  $A$  is undecidable, then  $B$  is also undecidable

Proof template:

1. Suppose to the contrary that  $B$  is decidable
2. Using  $B$  as a subroutine, construct an algorithm deciding  $A$
3. But  $A$  is undecidable. Contradiction!

# Halting Problem

$HALT_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM that halts on input } w\}$

**Theorem:**  $HALT_{TM}$  is undecidable

**Proof:** Suppose for contradiction that there exists a decider  $H$  for  $HALT_{TM}$ . We construct a decider for  $A_{TM}$  as follows:

On input  $\langle M, w \rangle$ :

1. Run  $H$  on input  $\langle M, w \rangle$
2. If  $H$  rejects, **reject**
3. If  $H$  accepts, simulate  $M$  on  $w$
4. If  $M$  accepts, **accept**. Otherwise, **reject**

This is a reduction from  $A_{TM}$  to  $HALT_{TM}$





# Empty language testing for TMs

$$E_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset\}$$

**Theorem:**  $E_{\text{TM}}$  is undecidable

**Proof:** Suppose for contradiction that there exists a decider  $R$  for  $E_{\text{TM}}$ . We construct a decider for  $A_{\text{TM}}$  as follows:

On input  $\langle M, w \rangle$ :

1. Construct a TM  $M'$  as follows:
2. Run  $R$  on input  $\langle M' \rangle$
3. If  $R$  , **accept**. Otherwise, **reject**

This is a reduction from  $A_{\text{TM}}$  to  $E_{\text{TM}}$







# Context-free language testing for TMs

$CFL_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is context – free}\}$

**Theorem:**  $CFL_{TM}$  is undecidable

**Proof:** Suppose for contradiction that there exists a decider  $R$  for  $CFL_{TM}$ . We construct a decider for  $A_{TM}$  as follows:

On input  $\langle M, w \rangle$ :

1. Construct a TM  $M'$  as follows:

2. Run  $R$  on input  $\langle M' \rangle$

3. If  $R$  accepts, **accept**. Otherwise, **reject**

This is a reduction from  $A_{TM}$  to  $CFL_{TM}$

# Context-free language testing for TMs

$CFL_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is context – free}\}$

**Theorem:**  $CFL_{TM}$  is undecidable

**Proof:** Suppose for contradiction that there exists a decider  $R$  for  $CFL_{TM}$ . We construct a decider for  $A_{TM}$  as follows:

On input  $\langle M, w \rangle$ :

1. Construct a TM  $M'$  as follows:

$M' =$  “On input  $x$ ,

1. If  $x \in \{0^n 1^n 2^n \mid n \geq 0\}$ , **accept**
2. Run TM  $M$  on input  $w$
3. If  $M$  accepts, **accept.**”

2. Run  $R$  on input  $\langle M' \rangle$

3. If  $R$  accepts, **accept**. Otherwise, **reject**

This is a reduction from  $A_{TM}$  to  $CFL_{TM}$



