

# BU CS 332 – Theory of Computation

## Lecture 11:

- TM Variants
- Closure Properties

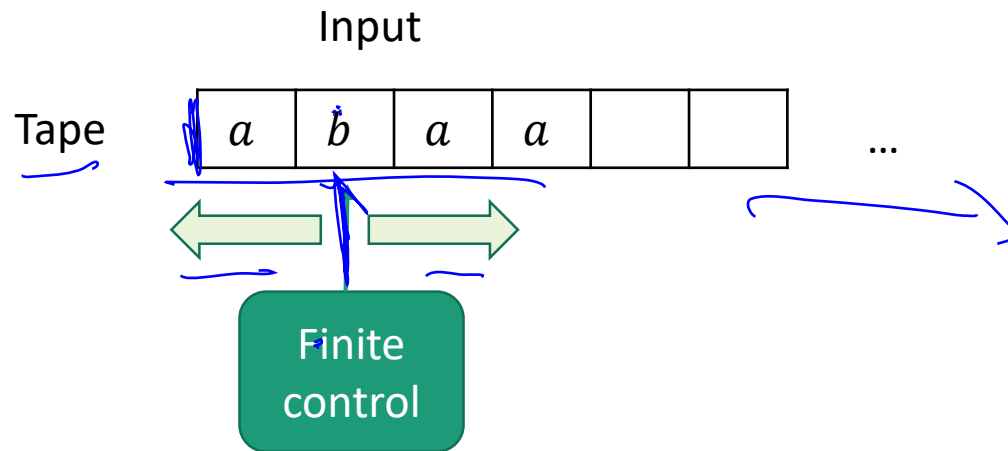
Reading:

Sipser Ch 3.2

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# The Basic Turing Machine (TM)



- Input is written on an infinitely long tape
- Head can both read and write, and move in both directions
- Computation halts when control reaches “accept” or “reject” state

# Formal Definition of a TM

A TM is a 7-tuple  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$

- $Q$  is a finite set of states
- $\Sigma$  is the input alphabet (does **not** include  $\sqcup$ )
- $\Gamma$  is the tape alphabet (contains  $\sqcup$  and  $\Sigma$ )
- $q_0 \in Q$  is the start state
- $q_{\text{accept}} \in Q$  is the accept state
- $q_{\text{reject}} \in Q$  is the reject state ( $q_{\text{reject}} \neq q_{\text{accept}}$ )
- $\delta$  is the transition function

# TM Transition Function

$$\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$$

$L$  means “move left” and  $R$  means “move right”

$\delta(p, a) = (q, b, R)$  means:

- Replace  $a$  with  $b$  in current cell
- Transition from state  $p$  to state  $q$
- Move tape head right

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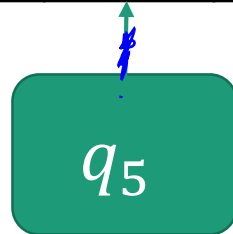
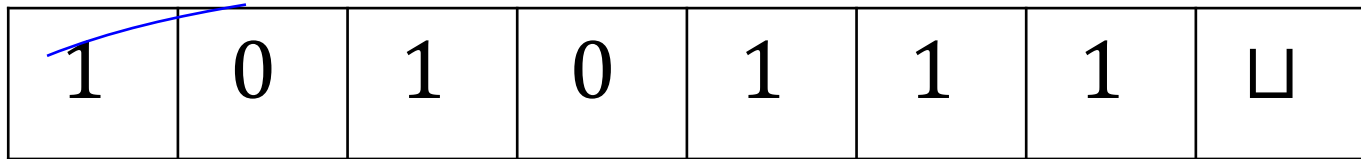
$\delta(p, a) = (q, b, L)$  means:

- Replace  $a$  with  $b$  in current cell
- Transition from state  $p$  to state  $q$
- Move tape head left UNLESS we are at left end of tape, in which case don't move

# Configuration of a TM

A string with captures a “a snapshot of the computation”  
(should suffice to continue the computation)

*What information is needed  
in a configuration?*

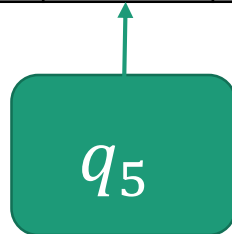
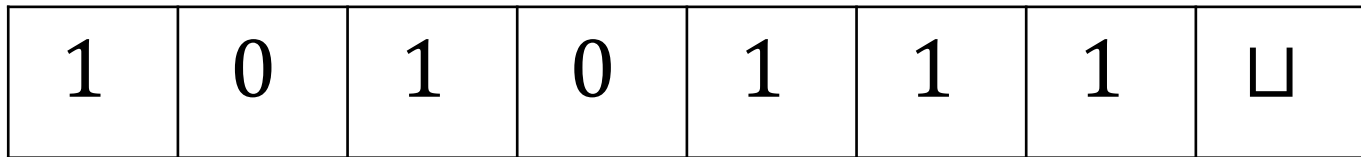


# Configuration of a TM: Formally

A **configuration** is a string  $uqv$  where  $q \in Q$  and  $u, v \in \Gamma^*$

- Tape contents =  $uv$  (followed by blanks  $\sqcup$ )
- Current state =  $q$
- Tape head on first symbol of  $v$

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# How a TM Computes

**Start** configuration:  $q_0 w$

One step of computation:

- $u a q b v$  yields  $u a c q' v$  if  $\delta(q, b) = (q', c, R)$
- $u a q b v$  yields  $u q' a c v$  if  $\delta(q, b) = (q', c, L)$
- $q b v$  yields  $q' c v$  if  $\delta(q, b) = (q', c, L)$

**Accepting** configuration:  $q = q_{\text{accept}}$

**Rejecting** configuration:  $q = q_{\text{reject}}$



# How a TM Computes

$M$  **accepts** input  $w$  if there is a sequence of configurations  $C_1, \dots, C_k$  such that:

- $C_1 = \underline{q_0}w$
- $\underline{C_i}$  yields  $\underline{C_{i+1}}$  for every  $i$
- $\underline{C_k}$  is an accepting configuration

$L(M)$  = the set of all strings  $w$  which  $M$  accepts

$A$  is **Turing-recognizable** if  $A$  =  $L(M)$  for some TM  $M$

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$A$  is **Turing-recognizable** if  $A = L(M)$  for some TM  $M$ :

- $w \in A$   $\implies$   $M$  halts on  $w$  in state  $q_{\text{accept}}$
- $w \notin A$   $\implies$   $M$  halts on  $w$  in state  $q_{\text{reject}}$

# How a TM Computes

$M$  **accepts** input  $w$  if there is a sequence of configurations  $C_1, \dots, C_k$  such that:

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$L(M)$  = the set of all strings  $w$  which  $M$  accepts

$A$  is **Turing-recognizable** if  $A = L(M)$  for some TM  $M$ :

- $w \in A \implies M$  halts on  $w$  in state  $q_{\text{accept}}$
- $w \notin A \implies M$  halts on  $w$  in state  $q_{\text{reject}}$   
or  $M$  runs forever

# Recognizers vs. Deciders

- A TM is a decider if it halts (i.e., either accepts or rejects) on all inputs.

A is (Turing-)decidable if  $A = L(M)$  for some TM  $M$   
which is a decider

# Recognizers vs. Deciders

- A TM is a **decider** if it halts (i.e., either accepts or rejects) on all inputs.

$A$  is **(Turing-)decidable** if  $A = L(M)$  for some TM  $M$   
which is a decider:

- $w \in A \implies M$  halts on  $w$  in state  $q_{\text{accept}}$
- $w \notin A \implies M$  halts on  $w$  in state  $q_{\text{reject}}$

# Back to Hilbert's Tenth Problem

**Computational Problem:** Given a Diophantine equation, does it have a solution over the integers?

$L =$

- $L$  is Turing-recognizable

- $L$  is **not** decidable (1949-70)



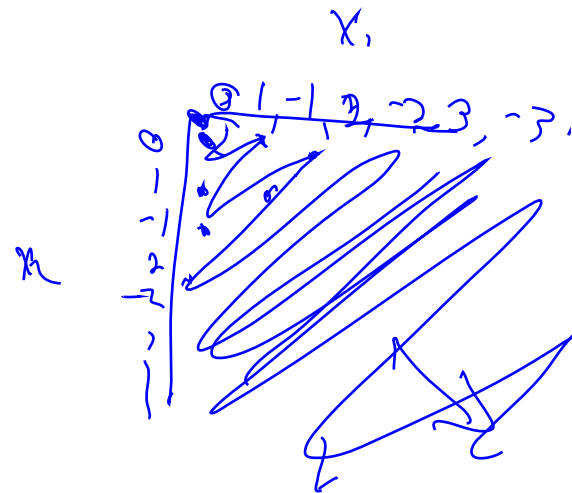
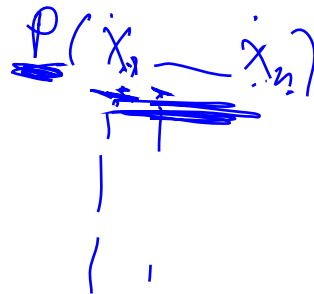
# Back to Hilbert's Tenth Problem

**Computational Problem:** Given a Diophantine equation, does it have a solution over the integers?

$$L = \{p = a_1 x_1^{c_1} + \dots + a_n x_n^{c_n} \mid \exists v_1 \dots v_n \in \mathbb{N} \text{ s.t. } p(x_1 \dots x_n) = 0\}$$

*(Handwritten:  $c_i, a_i \in \mathbb{N}$ )*

- $L$  is Turing-recognizable



# Back to Hilbert's Tenth Problem

**Computational Problem:** Given a Diophantine equation, does it have a solution over the integers?

$$L = \{p = a_1x_1^{c_1} + \dots + a_nx_n^{c_n} \mid \exists v_1 \dots v_n \in N \text{ s.t. } p(x_1 \dots x_n) = 0\}$$

- $L$  is Turing-recognizable
- $L$  is **not** decidable (1949-70)





# TM Variants

# How Robust is the TM Model?

Does changing the model result in different languages being recognizable / decidable?

Short answer: No....



Longer answer:

# Extensions that do not increase the power of the TM model

- TMs that are allowed to “stay put” instead of moving left or right

$$\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, S\}$$

**Proof** that TMs with “stay put” are no more powerful:

**Simulation:** Convert any TM  $M$  with “stay put” into an equivalent TM  $M'$  without

$$\Sigma(M) = \Sigma(M')$$

Replace every “stay put” instruction in  $M$  with a move right instruction, followed by a move left instruction in  $M'$

more formally:

$$M = (Q, q_0, q_a, q_r, \Gamma, \delta)$$

$$M' = (Q', q'_0, q'_a, q'_r, \Gamma, \Sigma, \delta')$$

$$Q' = Q \times \{0, 1\}$$

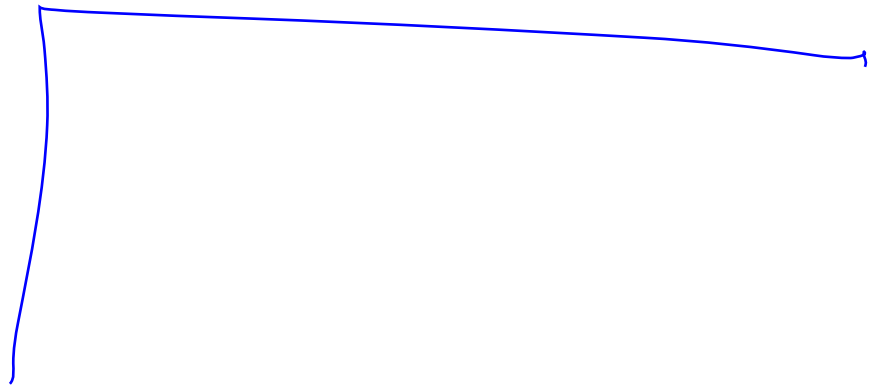
$$q \rightarrow (q, 0), (q, 1)$$

$$\delta(q, s) \rightarrow (p, s', L/R)$$
$$\delta(q, s) \rightarrow (p, s', L/R)$$

$$\delta(q, s) = (p, s', s)$$

$$\delta(q_0, s) = (p, s', L)$$

$$\delta(p, s) = (p_0, s, R)$$



Proof of correctness:

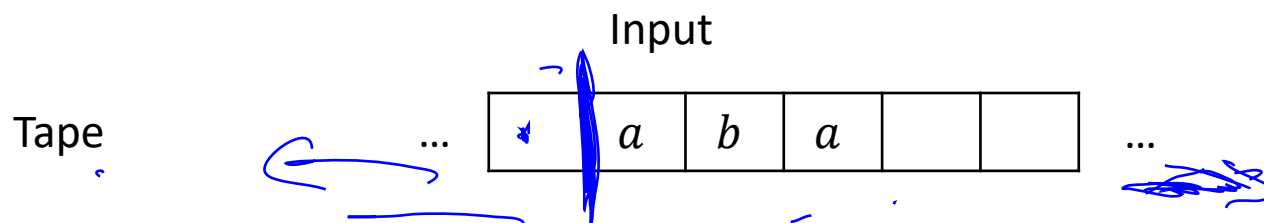
$$x \in L(M) \Rightarrow x \in L(M')$$

$$x \in L(M') \Rightarrow x \in L(M)$$

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# Extensions that do not increase the power of the TM model

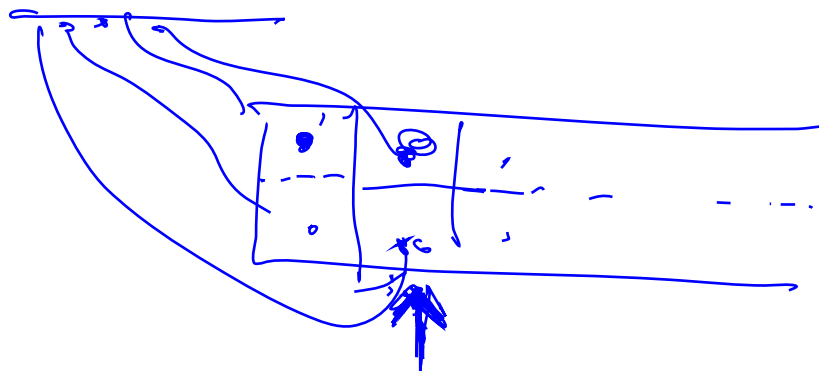
- TMs with a 2-way infinite tape, unbounded left to right



**Proof** that TMs with 2-way infinite tapes are no more powerful:

**Simulation:** Convert any TM  $M$  with 2-way infinite tape into a 1-way infinite TM  $M'$  with a “two-track tape”

$$Q' = Q \times \{L, R\}$$



# Formalizing the Simulation

$$M' = (\underline{Q'}, \underline{\Sigma}, \underline{\Gamma'}, \underline{\delta'}, q'_0, q'_{\text{accept}}, q'_{\text{reject}})$$

**New tape alphabet:**  $\Gamma' = (\Gamma \times \Gamma) \cup \{\$ \}$

**New state set:**  $Q' = Q \times \{+, -\}$

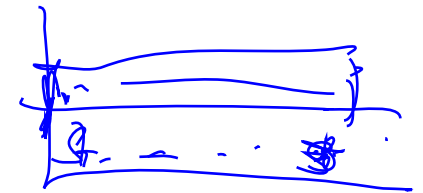
$(q, -)$  means “ $q$ , working on upper track”

$(q, +)$  means “ $q$ , working on lower track”

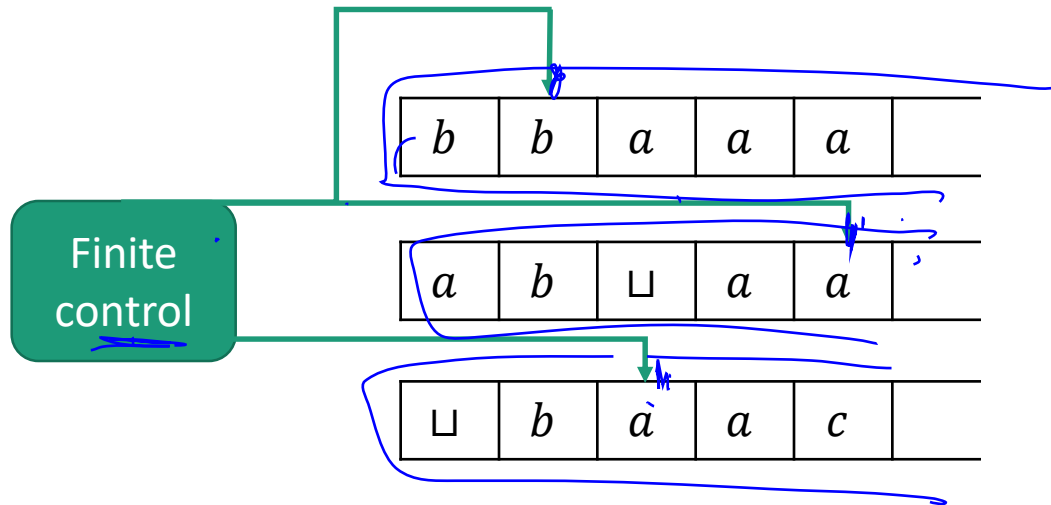
**New transitions:**

If  $\delta(p, a_-) = (q, \textcircled{b}, L)$ , let  $\delta'((p, -), (\textcircled{a_-}, a_+)) = ((q, -), (\textcircled{b}, a_+), R)$

Also need new transitions for moving right, lower track, hitting \$,  
initializing input into 2-track format



# Multi-Tape TMs



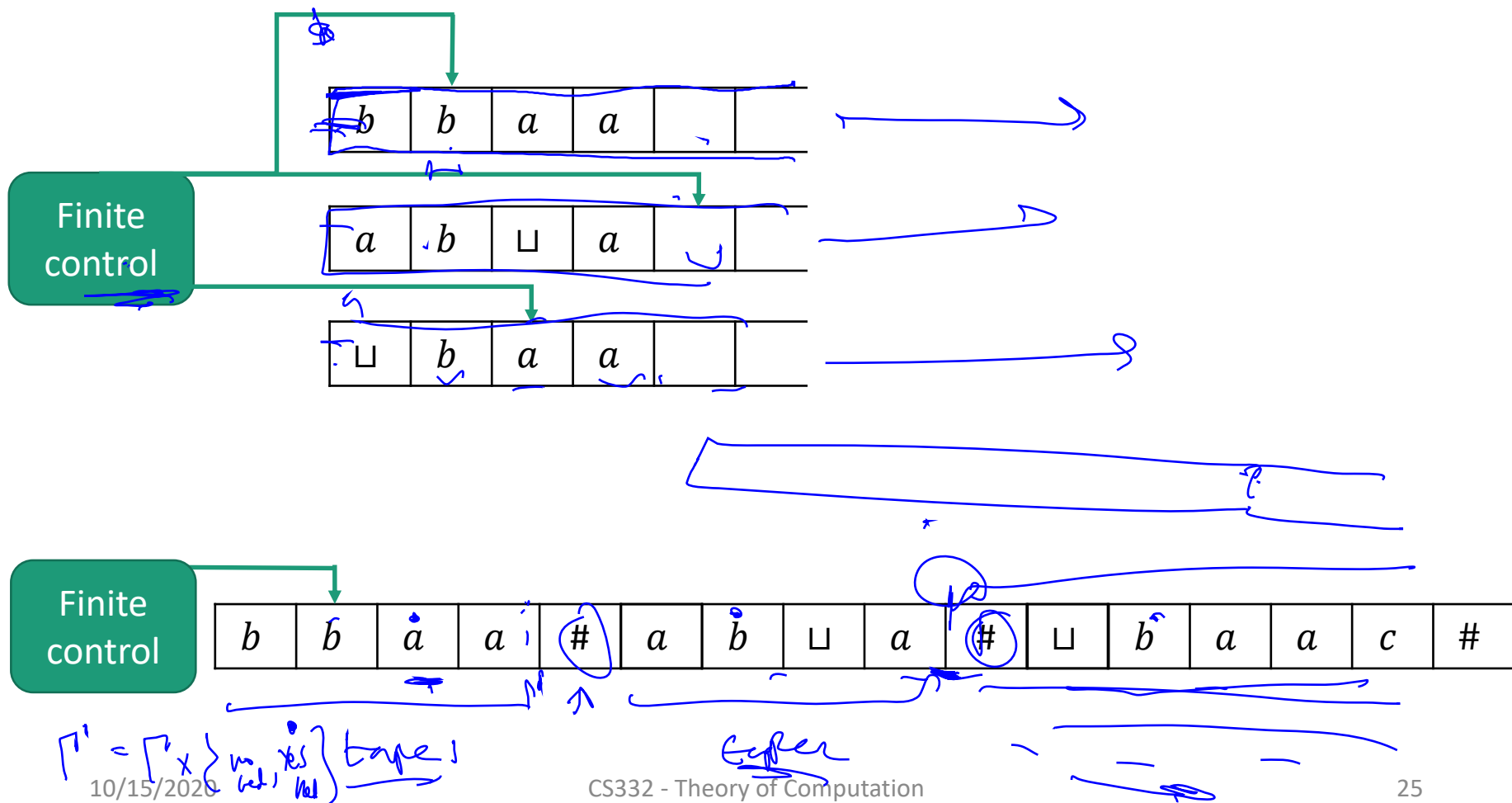
Fixed number of tapes  $k$  (can't change during computation)

Transition function  $\delta : Q \times \Gamma^k \rightarrow Q \times \Gamma^k \times \{L, R, S\}^k$



# Multi-Tape TMs are Equivalent to Single-Tape TMs

**Theorem:** Every  $k$ -tape TM  $M$  can be simulated by an equivalent single-tape TM  $M'$



# Simulating Multiple Tapes

## Implementation-Level Description

On input  $w = w_1 w_2 \dots w_n$

1. Format tape into  $\# w_1 w_2 \dots w_n \# \dot{\square} \# \dot{\square} \# \dots \#$
2. For each move of  $M$ :
  - Scan left-to-right, finding current symbols
  - Scan left-to-right, writing new symbols,
  - Scan left-to-right, moving each tape head

If a tape head goes off the right end, insert blank

If a tape head goes off left end, move back right

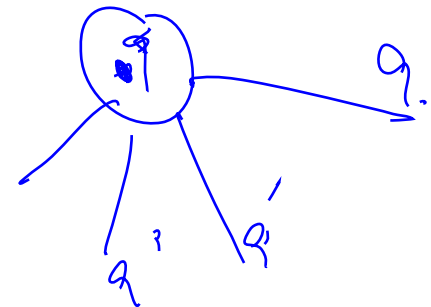
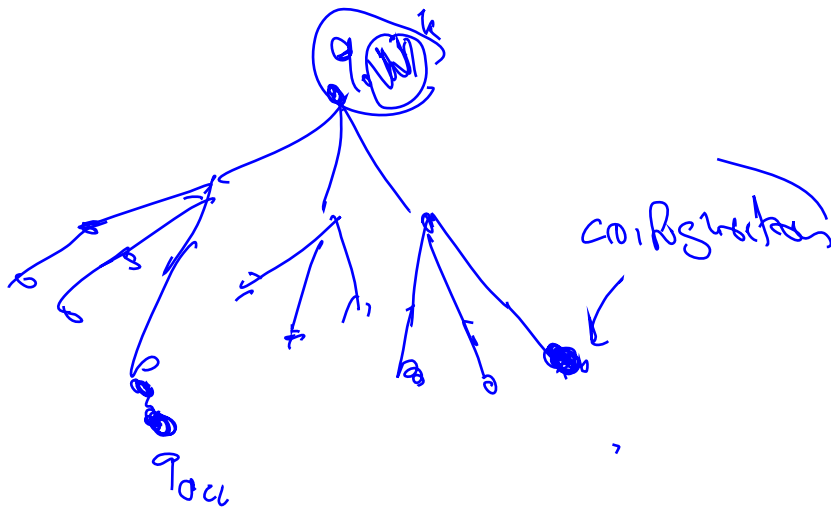


# Non-deterministic TMs

At any point in computation, may non-deterministically branch. Accepts iff there exists an accepting branch.

Transition function  $\delta : Q \times \Gamma \rightarrow P(Q \times \Gamma \times \{L, R, S\})$

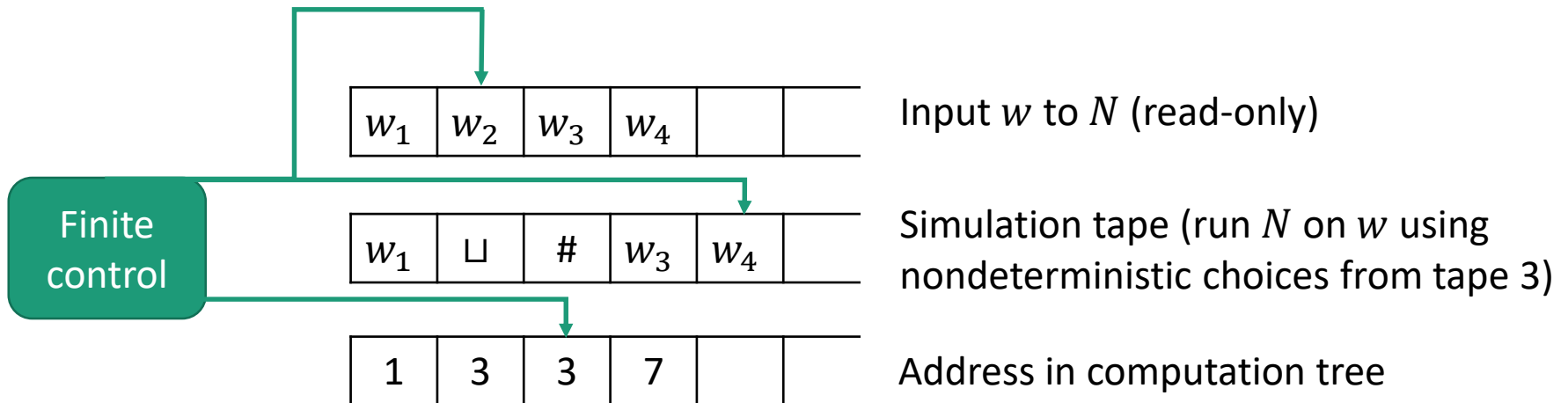
**Ex.** NTM for  $\{w \mid w \text{ is a binary number representing the product of two positive integers } a, b\}$



# Non-deterministic TMs

**Theorem:** Every nondeterministic TM has an equivalent deterministic TM

**Proof idea:** Simulate an NTM  $N$  using a 3-tape TM





# TMs are equivalent to...

- TMs with “stay put”
- TMs with 2-way infinite tapes
- Multi-tape TMs
- Nondeterministic TMs
- Random access TMs
- Enumerators
- Finite automata with access to an unbounded queue = 2-stack PDAs
- Primitive recursive functions
- Cellular automata
- ...

# Church-Turing Thesis

The equivalence of these models is a **mathematical theorem**

**Church-Turing *Thesis***: Each of these models captures our intuitive notion of algorithms

The Church-Turing Thesis is **not** a mathematical statement!